

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to

PATENT  
Attorney Docket No.: 19930-000500US

Assistant Commissioner for Patents  
Washington, D.C. 20231

On January 29, 2001

TOWNSEND and TOWNSEND and CREW LLP

By Nina L. McNeill  
Nina L. McNeill



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Samuel P. Weaver, et al.

Application No.: 09/658,158

Filed: September 8, 2000

For: LINEAR OPTICAL BEAM  
TRANSLATOR FOR OPTICAL  
ROUTING

Examiner: Not Yet Assigned

Art Unit: 2633

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

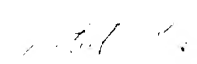
The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of all cited references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

It is to be noted that no representation should be made that no representation is being made that a search has been conducted of the prior art which encompasses all the possible relevant information.

RECEIVED  
JAN 31 2001  
Technology Center 2600

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
Patrick M. Boucher  
Reg. No. 44,037

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 303-571-4000  
Fax: 303-571-4321  
PMB:nlm

DE 7028381 v1



FORM PTO-1449 (Modified)	Attorney Docket No.: 19930-000500US	Application No.: 09 658,188
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION & DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant: Samuel P. Weaver	
	Filing Date: September 8, 2000	Group: <del>2633</del> 2637

Reference Designation			U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
<u>B. H.</u> A	5,414,540	05.09.95	Patel, J. et al.	359	94	
<u>B. H.</u> AB	5,917,625	06.29.99	Ogusu, M. et al.	359	130	
____ AC	<del>App. No. 09-412,061</del>		<del>Weverka et al.</del>			<del>11-16-99</del>
____ AD						
____ AE						
____ AF						
____ AG						
____ AH						
____ AI						
____ AJ						
____ AK						
____ AL						

RECEIVED  
JAN 31 2001  
Technology Center 2600

FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
____ AM						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
<u>B. H.</u> AN	"Low Voltage Piezoelectric Stacks," Transducer Elements, Catalog #3 1998, pp. 30-45, Piezo Systems, Inc. Cambridge, MA 02139
<u>B. H.</u> AO	Nishi, I. et al., "Broad-passband-width optical filter for multi-demultiplexer using a diffraction grating and a retroreflector prism," Electronic Letters, Vol. 21(10), pp. 423-424 (9 May 1985)
<u>B. H.</u> AP	Sun, Z.J. et al., "Demultiplexer with 120 channels and 0.29-nm channel spacing," IEEE Photonics Technology Letters, Vol. 10(1), pp. 90-92 (January 1998)
<u>B. H.</u> AQ	Philippe, P. et al., "Wavelength demultiplexer: using echelette gratings on silicon substrate," Applied Optics, Vol. 24(7), pp. 1006-1011 (1 April 1985)
<u>B. H.</u> AR	Ford, J.E. et al., "Wavelength Add-Drop Switching Using Tilting Micromirrors," Journal of Lightwave Technology, Vol. 17, No. 5, pp. 904-911 (May 1999)
____ AS	
____ AT	

DEPOSITION

Reference to is not a patent